## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**REQUEST FOR FILING NATIONAL PHASE OF** 

PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495 Asst. Commissioner of Patents (Our Deposit Account No. 03-3975

|   | and Trademarks Washington, D.C. 20231   |  |  |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|--|--|
|   | MITTAL LETTER TO THE UNITED STATES  NATED/ELECTED OFFICE (DO/EO/US)  Atty Dkt: PM 271464 /11441020/DV/MB /Client Ref.   |  |  |  |  |  |  |  |  |
| From:                                     | Pillsbury Madison & Sutro LLP, IP Group: Date: July 7, 2000   |  |  |  |  |  |  |  |  |
| Ş   | This is a <b>REQUEST</b> for <b>FILING</b> a PCT/USA National Phase Application based on:   |  |  |  |  |  |  |  |  |
| 1.  | International Application 2. International Filing Date 3. Earliest Priority Date Claimed  |  |  |  |  |  |  |  |  |
|   | PCT/CH99/00006 6 January 1999 9 January 1998  |  |  |  |  |  |  |  |  |
| 4.<br>C)                                  | Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:  |  |  |  |  |  |  |  |  |
| \41<br>\11                                | (a) ☐ 20 months from above item 3 date (b) ☒ 30 months from above item 3 date,  |  |  |  |  |  |  |  |  |
| · Ü )                                     | (c) Therefore, the due date (unextendable) isJuly 9, 2000   |  |  |  |  |  |  |  |  |
| 4. ( 19 that that that that that that the | Title of Invention TELECOMMUNICATION METHOD   |  |  |  |  |  |  |  |  |
| 6 <u>7</u>                                | Inventor(s) RITTER, Rudolf  |  |  |  |  |  |  |  |  |
| Applica                                   | nt herewith submits the following under 35 U.S.C. 371 to effect filing:   |  |  |  |  |  |  |  |  |
| <b>7</b> .                                | Int herewith submits the following under 35 U.S.C. 371 to effect filing:  ☐ Please immediately start national examination procedures (35 U.S.C. 371 (f)).  ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in   |  |  |  |  |  |  |  |  |
| <b>6</b> .                                | ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:   |  |  |  |  |  |  |  |  |
|   | a.  Request; b. Abstract; c. pgs. Spec. and Claims; d. sheet(s) Drawing which are informal formal of size A4 11"  |  |  |  |  |  |  |  |  |
| 9.  | ☑ A copy of the International Application has been transmitted by the International Bureau.   |  |  |  |  |  |  |  |  |
| 10.                                       | A translation of the International Application into English (35 U.S.C. 371(c)(2))  a.  is transmitted herewith including: (1) Request; (2) Abstract;  (3) 15 pgs. Spec. and Claims;  (4) 4 sheet(s) Drawing which are:  |  |  |  |  |  |  |  |  |
| , <b>a</b>                                | <ul> <li>informal ⋈ formal of size ⋈ A4 □ 11"</li> <li>is not required, as the application was filed in English.</li> <li>is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.</li> <li>Translation verification attached (not required now).</li> </ul> |  |  |  |  |  |  |  |  |

To:

RE: USA National Filing of PCT/CH9s 06

| 11.      | ⊠<br>a. ⊠                                 | PLEASE AMEND the specification before its first line by inserting as a separate paragraph: This application is the national phase of international application PCT/CH99/00006   |  |  |  |  |  |  |  |  |
|----------|---|---|--|--|--|--|--|--|--|--|
|          | b. 🗌                                      | filed <u>January 6, 1999</u> which designated the U.SThis application also claims the benefit of U.S. Provisional Application No.   |  |  |  |  |  |  |  |  |
| 12.      |   | 60/, filed Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., <u>before 18th month</u> from first priority date above in item 3, are transmitted herewith (file only if in <u>English</u> ) including:   |  |  |  |  |  |  |  |  |
| 13.      | $\boxtimes$                               | PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau  |  |  |  |  |  |  |  |  |
| 14.      |   | Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).  |  |  |  |  |  |  |  |  |
| 15.      | A decl<br>a. ⊠<br>b. □                    | aration of the inventor (35 U.S.C. 371(c)(4)) is submitted herewith ⊠ Original □ Facsimile/Copy is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.   |  |  |  |  |  |  |  |  |
|          |   | ernational Search Report (ISR): s prepared by  European Patent Office  Japanese Patent Office  Other has been transmitted by the international Bureau to PTO. copy herewith (2 pg(s).)  plus Annex of family members (1 pg(s).).  |  |  |  |  |  |  |  |  |
|          | Interna<br>a. ⊠<br>b. □<br>c.1 ⊠<br>c.2 ⊠ | has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language. copy herewith in English.  IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:  Specification/claim pages #2,2a,12,13,14 & 15 claims #1 - 19 |  |  |  |  |  |  |  |  |
| SI<br>Cl | d. 🛚                                      | Dwg Sheets # Translation of Annex(es) to IPER (required by 30 <sup>th</sup> month due date, or else annexed amendments will be considered canceled).  |  |  |  |  |  |  |  |  |
| 18.      | Inform<br>a. ⊠<br>b. □<br>c. ⊠            | Attached Form PTO-1449 listing documents Attached copies of documents listed on Form PTO-1449 A concise explanation of relevance of ISR references is given in the ISR.   |  |  |  |  |  |  |  |  |
| 19.      |   | <b>Assignment</b> document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.  |  |  |  |  |  |  |  |  |
| 20.      | $\boxtimes$                               | Copy of Power to IA agent.  |  |  |  |  |  |  |  |  |
| 21.      |   | Drawings (complete only if 8d or 10a(4) not completed): sheet(s) per set: ☐ 1 set informal; ☐ Formal of size ☐ A4 ☐ 11"   |  |  |  |  |  |  |  |  |
| 22.      |   | (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27   |  |  |  |  |  |  |  |  |
| 23.      | filed in<br>in (cou                       | <b>y</b> is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both the International Application during the international stage based on the filing ntry) <u>SWITZERLAND</u> of:   |  |  |  |  |  |  |  |  |
| (1)      | ` <u>Ap</u><br>24/98                      | plication No. Filing Date Application No. Filing Date   |  |  |  |  |  |  |  |  |
| (3)      |   | January 9, 1998 (2)   |  |  |  |  |  |  |  |  |
| (5)      | a. 🛛                                      | See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been   |  |  |  |  |  |  |  |  |
|          | b. 🔲                                      | received, please proceed promptly to obtain same from the IB.  Copy of Form PCT/IB/304 attached.  |  |  |  |  |  |  |  |  |

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24. Attached:

| 25 | Preliminary | Amendment: | ATTACHED |
|----|-------------|------------|----------|

| 25.5                        | Per          | Item     | 17.c2, <u>can</u> | <b>cel original</b> p                            | ages #                                 | , clain                        | ns #                            | _, Draw                 | ring Sheets #                            | ŧ                              |                                  |                                |                    |
|-----------------------------|--------------|----------|-------------------|--|--|--------------------------------|---------------------------------|-------------------------|--|--------------------------------|----------------------------------|--------------------------------|--------------------|
| 26.                         | Cal          | culati   | ion of the        | U.S. National                                    | Fee (35 U.                             | .s.c. 37                       | 1 (c)(1)) a                     | nd oth                  | ner fees is a                            | s foll                         | ows:                             |                                |                    |
|                             | on <u>ar</u> | nend     | ed claim(s)       | per above ite                                    | m(s) 🔲 12                              | 2, 🔲 14                        | , 🛛 17,                         | ☒ 25,                   | 25.5 (hil                                | ite)                           |                                  |                                |                    |
| T - 4 - 1 F                 | EE 1:        | 01       | _!                | 22   |  | 20 -                           | 2                               |                         | x \$18/\$9                               | =                              | ΦE A                             | 96                             | 6/967              |
| Total E<br>Indepe           |              |          |                   | 23<br>2  | minus<br>minus                         |                                | 3<br>0                          |                         | x \$78/\$39                              | =                              | \$54<br>\$0                      |                                | 4/965              |
| lf anv p                    | rope         | r (ian   | ore improp        | er) Multiple De                                  |  |                                |                                 |                         | add\$260/\$1                             |                                | +260                             |                                | 8/969              |
|                             |              |          |                   | CFR 1.492(a)                                     |  |                                |                                 |                         |  |                                | <b>→</b>                         |                                |                    |
| БДОГО                       |              |          |                   |  |  |                                |                                 |                         |  |                                | ullet                            |                                |                    |
| A.                          | If co        | ountry   | code lette        | rs in item 1 ard                                 | e <u>not "US",</u>                     | <u>,"BR","B</u>                | <u>B","TT","N</u>               | 1X","IL'                | <u>"NZ", "IN" o</u>                      | r "ZA'                         | "<br>                            |                                |                    |
|                             | Soc          | itom     | 16 re:            |  |  |                                |                                 |                         |  |                                | Ť                                |                                |                    |
|                             | 1.           | Sea      | <u>rch Report</u> | was not prepa                                    | ared by EP                             | O or JP                        | 0                               |                         | add\$970/\$4                             | 85                             | •                                |                                | 960/961            |
| <b>[</b> ]                  | 2.           | Sea      | rch Report        | was prepared                                     | by EPO or                              | r JPO - ·                      | <u> </u>                        |                         | add\$840/\$4                             | 20                             | +840                             |                                | 970/971            |
| 4)                          |              |          | ,                 |  | •                                      |                                |                                 |                         |  |                                |                                  |                                |                    |
| <u>SKIP B</u>               | C, D         | AND      | E UNLESS          | country code I                                   | etters in ite                          | m 1 are                        | <u>"US","BR'</u>                | <u>","BB",</u>          | <u>"TT","MX","</u>                       | L", "I                         | <u>NZ", "IN" c</u><br>JL         | or "ZA"                        |                    |
| ñi⇒                         |              | В.       | If LISPTO         | did not issue                                    | hoth Intern                            | ational !                      | Search Re                       | eport                   |  |                                | •                                |                                |                    |
| (X)                         |              | υ.       |                   | (if box 4(b) at                                  |  |                                |                                 |                         | add\$970/\$4                             | 85                             | +0                               |                                | 960/961            |
|                             |              |          |                   | ion Report (IP                                   |  |                                |                                 |                         |  |                                |                                  |                                |                    |
| (omalyi)                    |              |          |                   |  |  |                                |                                 |                         |  |                                |                                  |                                |                    |
| ( <u>one)</u> →             | Ш            | C.       | If USPTC          | issued ISR bu                                    | ut not IPER                            | R (or box                      | 4(a) abov                       | ve is                   | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~  | 45                             |                                  |                                | 958/959            |
| ( <b>9</b> )                |              |          | X'a),             |  |  |                                |                                 | <del>-</del>            | add\$690/\$3                             | 45                             | +0                               |                                | 3301333            |
| (the <u>s</u> e)<br>( =4) → | П            | D.       | If USPTO          | issued IPER                                      | but IPER S                             | Sec. V bo                      | oxes not a                      | ıll 3                   |  |                                |                                  |                                |                    |
| ( -4) →<br>(boxes)          |              | Ξ.       | YES,              |  | • • • • • • • •                        |                                |                                 |                         | add\$670/\$3                             | 35                             | +0                               |                                | 956/957            |
| `₹¦<br>(**)                 |              |          |                   |  |  |                                |                                 |                         |  |                                |                                  |                                |                    |
| C] →                        |              | E.       |                   | ional prelimina                                  |  |                                |                                 |                         |  |                                |                                  |                                | 962/963            |
|                             |              |          | Soc V all         | nd Rules 492(<br>3 boxes YES                     | a)(4) and 4<br>for all clain           | 196(D) <u>Si</u>               | atistied (i⊦                    | ZEK                     | add \$96/\$48                            | 2                              | +0                               |                                | 302/303            |
|                             |              |          | 360. V <u>aii</u> | 3 DOXES ILS                                      | ioi <u>aii</u> ciaiii                  | 113),                          |                                 |                         | add \$50/\$40                            |                                |                                  |                                |                    |
| 27.                         |              |          |                   |  |  |                                | •                               |                         | SUBTOTAL                                 | _ =                            | \$1154                           |                                |                    |
|                             |              |          |                   |  |  |                                |                                 |                         |  | •                              |                                  |                                |                    |
| 28.                         | If A         | ssign    | ment box 1        | 9 above is X'd                                   | l, add Assig                           | gnment                         | Recording                       | fee of                  | \$40                                     |                                | +40                              |                                | (581)              |
| 20                          | ۸ 44         | اممطم    | io o obook        | to cover the -                                   |  |                                |                                 |                         | TOTAL EER                                | :0                             | \$1194                           |                                |                    |
| 29.                         | Alla         | icheu    | is a check        | to cover the -                                   |  |                                |                                 |                         | TOTALTE                                  | -3                             | Ψ1134                            |                                |                    |
|                             | Our          | Den      | nsit Accour       | nt No. 03-3975                                   |  |                                |                                 |                         |  |                                |                                  |                                |                    |
|                             |              |          | er No.            | 60237  |  | 1:                             | 271464                          |                         |  |                                |                                  |                                |                    |
|                             |              | 2.30     |                   |  | C#                                     |                                |                                 | M#                      |  |                                |                                  |                                |                    |
| CHARGE                      | STATE        | MENT:    | The Commission    | ner is hereby authorize                          | ed to charge any                       | fee specific                   | ally authorized                 | hereafter,              | or any missing or i                      | nsufficie                      | nt fee(s) filed,                 | or asserted                    | to be              |
| filed, or wh<br>or hereafte | ich sho      | uld have | been filed here   | with or concerning and the resulting Official of | y paper filed here<br>locument under f | eafter, and w<br>Rule 20. or o | thich may be recreated any over | equired un<br>payment t | der Rules 16-18 an<br>o our Account/Orde | id 492 ( <u>r</u><br>er Nos. s | nissing or insu<br>hown above fo | tticient fee o<br>or which bur | nly) now<br>pose a |
|                             |              |          |                   |  |  | , •··                          |                                 |                         |  |                                |                                  |                                | •                  |

<u>duplicate</u> copy of this sheet is attached.

This CHARGE STATEMENT <u>does not authorize</u> charge of the <u>issue fee</u> until/unless an issue fee transmittal form is filed

Pillsbury Madison & Sutro LLP Intellectual Property Grøup

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Ŕeg. No.

28872

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NOTE: File in <u>duplicate</u> with 2 postcard receipts (PAT-103) & attachments.